# This Page Is Inserted by IFW Operations and is not a part of the Official Record

### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representation of The original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

### IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

#### NOTIFICATION OF THE RECORDING **OF A CHANGE**

(PCT Rule 92bis.1 and

From the INT	FERNAT	IONAL	BUREAU
--------------	--------	-------	--------

To:

A CRIATIVA MARCAS E PATENTES S/C Rua Conselheiro Carrao n.470

Juveve

Administrative instructions, Section 422) CEP-80.040-130 Curitiba, Ph					
Date of maili g (day/month/year)	H				
25 April 2000 (25.04.00)	<u> </u>				
Applicant's or agent's file reference		IMPORTANT NOTI	FICATION		
International application No.	Internation	nal filing date (day/month/ye	ear)		
PCT/BR99/00044	28 M	lay 1999 (28.05.99)			
The following indications appeared on record concerning:      X the applicant     X the inventor	the agen		on representative		
Name and Address		State of Nationality  **	State of Residence BR		
SITNIK, Boris Rua Leocádio Julio de Assumpção, 10 Cascatinha		Telephone No.			
CEP-82020-150 Curitiba, PR Brazil		Facsimile No.			
,		Teleprinter No.			
		releprinter (vo.			
2. The International Bureau hereby notifies the applicant that t	he following	change has been recorded	concerning:		
the person the name the add		X the nationality	the residence		
Name and Address		State of Nationality	State of Residence BR		
SITNIK, Boris		BR Talanhana No	DN		
Rua Leocádio Julio de Assumpção, 10 Cascatinha		Telephone No.			
CEP-82020-150 Curitiba, PR Brazil	Facsimile No.				
		Teleprinter No.			
	<del></del>				
3. Further observations, if necessary:					
	•				
4. A copy of this notification has been sent to:		the designated Office	s concerned		
X the receiving Office		X the elected Offices co			
the International Searching Authority		본			
X the International Preliminary Examining Authority		other:			
	Authorize	d officer			
The International Bureau of WIPO 34, chemin des Colombettes		I. Britel			
1211 Geneva 20, Switzerland		No. (41 22) 220 02 20			
Facsimile No.: (41-22) 740.14.35	Telephon	e No.: (41-22) 338.83.38			

Facsimile No.: (41-22) 740.14.35

#### **PCT**

# NOTIFICATION OF THE RECORDING OF A CHANGE

(PCT Rule 92bis.1 and Administrative Instructions, Section 422)

To:

A CRIATIVA MARCAS E PATENTES S/C LTDA. Rua Conselheiro Carrao n.470 Juveve

CEP-80.040-130 Curitiba, PR BRÉSIL

Date o@nailing (day/month/year)	\$ <sub>1</sub>			
25 April 2000 (25.04.00)				
Applicant's or agent's file reference	IMPORTANT NOTIFICATION			
International application No.	International filing date (day/month/year)			
PCT/BR99/00044	28 May 1999 (28.05.99)			
1. The following indications appeared on record concerning:  the applicant the inventor	X the agent the common representative			
Name and Address	State of Nationality State of Residence			
A CRIATIVA MARCAS E PATENTES S/C				
LTDA. Rua Saldanha de Gama, 51 Conjuto 18, Centro CEP-80060-170 Curibiba,PR	Telephone No. 0055412631413			
Brazil	Facsimile No.			
,	0055412631413			
	Teleprinter No.			
2. The International Bureau hereby notifies the applicant that the	the following change has been recorded concerning:			
the person the name X the add	dress the nationality the residence			
Name and Address	State of Nationality State of Residence			
A CRIATIVA MARCAS E PATENTES S/C				
LTDA. Rua Conselheiro Carrao n.470	Telephone No.			
Juveve CEP-80.040-130 Curitiba, PR	0055412631413			
Brazil	Facsimile No. 0055412631413			
	Teleprinter No.			
	rereprinter No.			
3. Further observations, if necessary:				
4. A copy of this notification has been sent to:				
	Abordesimmeted Offices compound			
X the receiving Office	the designated Offices concerned			
the International Searching Authority	X the elected Offices concerned			
X the International Preliminary Examining Authority	other:			
	Authorized officer			
The International Bureau of WIPO 34, chemin des Colombettes	I. Britel			
1211 Geneva 20, Switzerland	i. Dillei			

Telephone No.: (41-22) 338.83.38

Facsimile, No.: (41-22) 740.14.35

#### From the INTERNATIONAL BUREAU

### **PCT**

#### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

Assistant Commissioner for Patents United States Patent and Trademark Office Box PCT Washington, D.C.20231

<u> </u>	ETATS-UNIS D'AMERIQUE			
Date of mailing (day/month/year) 14 April 2000 (14.04.00)	in its capacity as elected Office			
International application No. PCT/BR99/00044	Applicant's or agent's file reference			
International filing date (day/month/year) 28 May 1999 (28.05.99)	Priority date (day/month/year) 01 June 1998 (01.06.98)			
Applicant				
WENDLER, Carlos, Eduardo et al				
The designated Office is hereby notified of its elec-	tion made:			

1.	The designated Office is hereby notified of its election made:
	X in the demand filed with the International Preliminary Examining Authority on:
	05 January 2000 (05.01.00)
	in a notice effecting later election filed with the International Bureau on:
2.	The election was
	X was not
	made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Pascal Piriou			
Facsimile No.: (41-22) 740.14.35	   Telephone No.: (41-22) 338.83.38			

### PATENT COOPERATION TREATY



PCT

09/701627

#### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference   FOR FURTHER see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.								
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)						
PCT/BR 99/00044 28/05/1999 01/06/1998								
Applicant								
LOTOMIDIA SERVICOS LOTERICOS LTDA. et al.								
This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.								
This International Search Report consists  X  It is also accompanied by	of a total of3 sheets. a copy of each prior art document cited in this	report.						
Basis of the report								
	international search was carried out on the bases otherwise indicated under this item.	sis of the international application in the						
the international search w Authority (Rule 23.1(b)).	as carried out on the basis of a translation of t	he international application furnished to this						
was carried out on the basis of the	e sequence listing :	nternational application, the international search						
	nal application in written form. rnational application in computer readable for	m.						
	this Authority in written form.							
	this Authority in computer readble form.							
	osequently furnished written sequence listing d s filed has been furnished.	loes not go beyond the disclosure in the						
the statement that the info furnished	ormation recorded in computer readable form i	s identical to the written sequence listing has been						
2. Certain claims were fou	nd unsearchable (See Box I).							
3. Unity of invention is lac	king (see Box II).							
4. With regard to the title,								
the text is approved as su	bmitted by the applicant.							
X the text has been establis GAMING METHOD	hed by this Authority to read as follows:							
מאוינוים ויבוחטט								
5. With regard to the abstract,  The text is approved as su	ubmitted by the applicant							
the text is approved as submitted by the applicant. the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.								
6. The figure of the drawings to be pub	lished with the abstract is Figure No.	1						
as suggested by the appl	icant.	None of the figures.						
because the applicant fai								
Decause this figure better	characterizes the invention.							

#### INTERNATIONAL SEARCH REPORT

International Application No PCT/BR 99/00044

A. CLASSII	FICATION OF SUBJECT MATTER						
IPC 6	G07F17/32						
	International Patent Classification (IPC) or to both national classif	ication and IPC					
	SEARCHED	Alian ayımlada					
IPC 6	cumentation searched (classification system followed by classification sys	ation symbols)					
Documentat	ion searched other than minimum documentation to the extent tha	t such documents are included in the fields se	earched				
Electronic d	ata base consulted during the international search (name of data b	nace and where practical search terms used	<u></u>				
Liectionic di	ata base consulted during the international search (hame of data)	sase and, whore practical, scarci, terms used	,				
	ENTS CONSIDERED TO BE RELEVANT						
Category °	Citation of document, with indication, where appropriate, of the i	relevant passages	Relevant to claim No.				
D V	UO 00 26261 A (JACKDOT AC)		1 2				
P,X	WO 98 26361 A (JACKPOT AS) 18 June 1998 (1998-06-18)		1,2				
	page 5, line 20 - line 29						
	page 9, line 11 - line 21						
	page 21, line 1 - line 33	200					
	page 23, line 8 - line 12; figui 						
Α	WO 93 05483 A (FIRST DATA RESOU	RCES)	1,2				
	18 March 1993 (1993-03-18)						
	page 3, line 3 - line 25 page 6, line 18 - line 26						
	page 6, Tine 18 - Tine 26  page 11, line 24 - line 30; figures						
Α	EP 0 829 834 A (INTERNATIONAL G   TECHNOLOGY) 18 March 1998 (1998		1				
	column 3, line 36 -column 4, lin						
	figures	,					
ŀ		-/					
<u> </u>							
X Furt	her documents are listed in the continuation of box C.	Patent family members are listed	in annex.				
° Special ca	ategories of cited documents:	"T" later document published after the inte	emational filing date				
"A" docume	ent defining the general state of the art which is not dered to be of particular relevance	or priority date and not in conflict with cited to understand the principle or th					
"E" earlier	document but published on or after the international	invention "X" document of particular relevance; the					
	ent which may throw doubts on priority claim(s) or	cannot be considered novel or canno involve an inventive step when the do					
which is cited to establish the publication date of another citation or other special reason (as specified)  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the							
"O" document referring to an oral disclosure, use, exhibition or other means document is combined with one or more other such document of the combination being obvious to a person skilled							
"P" document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family							
Date of the actual completion of the international search  Date of mailing of the international search report							
3 November 1999 11/11/1999							
Namo and	mailing address of the ISA	Authorized officer					
I VAIII B AIR I	European Patent Office, P.B. 5818 Patentlaan 2	7.00.00.200 0.000					
	NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,	Neville, D					
	Fax: (+31-70) 340-3016						

1

#### **INTERNATIONAL SEARCH REPORT**

International Application No
PCT/BR 99/00044

tegory °	ation) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
		neievant to daim No.		
	FP 0 450 520 A (GANOT)	1		
	EP 0 450 520 A (GANOT) 9 October 1991 (1991-10-09) claims 1,3-5,8-11; figures	1		
	claims 1,3-5,8-11; figures			
j				
İ				
İ				

1

#### INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No
PCT/BR 99/00044

Patent document cited in search repo	rt	Publication date		atent family nember(s)	Publication date
WO 9826361	Α	18-06-1998	NO AU	965304 A 7850498 A	12-06-1998 03-07-1998
WO 9305483	Α	18-03-1993	US US US	5365575 A 5787156 A 5835576 A	15-11-1994 28-07-1998 10-11-1998
EP 829834	A	18-03-1998	US AU BR CA	5779545 A 3745297 A 9704683 A 2214956 A	14-07-1998 12-03-1998 02-02-1999 10-03-1998
EP 450520	Α	09-10-1991	NONE		

"HARDWARE AND SOFTWARE INTEGRATION PROCESS FOR LOTTERY BETS OPERATION"

1

The present Patent Application refers to a Process of Hardware and Software Integration for Operating Lottery Bets of all kinds, including Instant Prize (scratch-and-win type), either by the Internet or by dedicated equipment, in the first case through the use of Equipments (Hardware) and Computer Programs (Software), acting as an Internet Service Provider, in such a way using, in a safe and efficient form, the Internet for the accomplishment of bets with the Official Lottery Provider, the electronic banking transfer of funds method as a form of payment. In case of using the dedicated equipment use, such equipment is an electronic-mechanical one, of the consult terminal type, capable to accomplish lottery bets with direct interaction between the gambler and the machine, with connection so with the Official Lottery Provider, as with the banking net, allowing the immediate payment through cards, what brings advantages of safety, so in the operation as in the payment source identification, avoiding frauds and prize losses, and bringing simplicity and comfort to the operation. The use of this process for the Internet can be made by any computer terminal that is able to be connected to the Internet, through a World Wide Web (WWW) page to be provided by an Internet Services Provider. This service, which uses and is connected on-line to the banking net, aims to facilitate the accomplishment of bets without need of the gambler displacement, allowing, from an initial centralization, a virtual expansion of bet-making points and substantially simplifying the form of bet payment. This service still provides an economy of time and physical displacement, as well as safety and comfort to the gambler, freedom to make bets out of business hours with the possibility of redrawing and flexibility of functioning time, the non-use of physical means of payment, the possibility of interdict bets from legally non-permitted people, as well as the easiness offered to those disabled to move for several reasons, and the diversification of languages for bet making. Finally, this process can facilitate identification of the origin of the operation and of the bets payment source, helping in the resolution of possible litigations originating from the loss of the game's voucher.

As it is well acknowledged by lotteries users, and in particular by the technicians operating the Lottery, it can be bet nowadays by the following ways:

1. For Lottery of Numbers games or Sports-linked Lotteries as the Superbowl's, it is necessary to go to a lottery shop, to fill a bet card, pass it to an operator, that puts it in the system with electronic validation through a cards reader, with delivery of a printed paper voucher containing the bet informations, the date, the number of the raffle, the amount to pay or other valid information. These bet data are transferred to the Official Lottery Provider, that computes and consolidates them. The amount is then paid by the gambler in money, check, or, if the lottery shop have them, through electronic means as credit card or banking net. The payment system is, this way, totally dissociated of the system of bets, not having a linking among the net of the lottery office and the banking net. The most serious disadvantage of this current system is that, if the gambler win the bet prize, its only comprobatory document is a paper voucher. The prize is then paid to the bearer of the voucher, what allows the possibility of frauds, the loss of the prize when one gets lost the voucher, or the undue payment to people that didn't make the winning bet. Other disadvantages are the need to make the bet at the business hours,

restraining the consumer's freedom of schedule, the inherent cost to the operation of periphericals of two separate nets, besides the low agility and comfort of the transaction for the user of the systems.

2. Dedicated machine of the type calculator-validator-printer installed in the lottery shops and linked on-line to the Official Lottery Provider, in that the bet card is handwritten through paper cards, being the bets marked in such cards electronically validated through a card reader, with delivery of a printed paper voucher containing the bets, the date, the number of raffle, the amount to pay and other valid information, and the voucher. The data of the bet are then transferred to the Official Lottery Provider, that computes them and consolidates them. The value is then paid to the gambler in money, check, or, if the lottery shop have them, through electronic means as credit card or banking net. The payment system is, this way, totally dissociated of the bet system, not having a link between the net of the lottery office and the banking net. The most serious disadvantage of this current system is that, if the gambler win the bet prize, its only comprobatory document is a paper voucher. The prize is then paid to the bearer of the voucher, what allows the possibility of frauds, the loss of the prize when one gets lost the voucher, or the undue payment to people that didn't make the winning bet. Other disadvantages are the need to make the bet at the time in wich the shop works , restraining the consumer's freedom of schedule, the inherent cost to the operation of periphericals of two separate nets, besides the low agility and comfort of the transaction for the user of the systems.

3. Dedicated machine of the type calculator-monitor-printer, installed in places like bars, snack bars and similar stablishments, showing through a video monitor the accomplishment of number bets in recurrent periods of time, like those denominated "Pimba" game in Brazil, these machines being linked on-line with the Official Lottery Provider. This type of machine presents the disadvantages of having the payment system totally dissociated of the bet system, not having a link between the net of the lottery office and the banking net, besides the need to make the bet at the time in wich the shop works, restraining the consumer's freedom of schedule, the inherent cost of the operation of periphericals of two separate nets, besides the low agility and comfort of the transaction for the user of the systems.

4. Dedicated machine of the videogame and/or video-poker type, installed in places like bingos, that play games like the so called "jogo-da-velha" in Brazil, and that are linked on-line with the operator of the lottery office for revenue control. This type of machine presents the disadvantages of having the payment system totally dissociated of the bet system, not having a link between the net of the lottery office and the banking net, besides the need to make the bet at the time in which the shop works, restraining the consumer's freedom of schedule, the inherent cost of the operation of periphericals of two separate nets, besides the low agility and comfort of the transaction for the user of the systems.

5. Games of the Instant-Prize (scratch-and-win type)lottery, like "raspadinha" in Brazil, that are made through the previous purchase of a carton or paper ticket printed with numbers, letters or figures, such printings being covered by a removable opaque film that, on being scraped with the fingernail or with appropriate rasper, looses from the ticket,

showing the content of the game and, consequently, its result. This game system is, currently, sought principally by people that walk around very popular and crowded places, and they should go to the commercialization points to make this, having, eventually, to wait lines to acquire the tickets.

"HARDWARE AND

SOFTWARE INTEGRATION PROCESS FOR LOTTERY BETS OPERATION", that is the object of the present patent, was developed to give a new option to the users of lotteries, through use of Internet or through dedicated equipment for the accomplishment of the bets and use of appropriate equipments and computer programs to provide an on-line connection to the Lottery Operation Centers and to banking net and, providing a virtual expansion of points of accomplishment of bets, simplifying the form and guaranteeing the payment of the same ones.

The service using the Internet still provides an economy of time and physical displacement, as well as safety and comfort to the gambler, freedom to make bets out of business hours with the possibility of redrawing and flexibility of functioning time, the non-use of physical means of payment, the possibility of interdict bets from legally non-permitted people, as well as the easiness offered to those disabled to move for several reasons, and the diversification of languages for bet making. Finally, this process facilitates identification of the origin of the operation and of the bets payment source, helping in the resolution of possible litigations originating from the loss of the game's voucher.

The dedicated equipment presents advantages of using a consult terminal (kiosk), where the own gambler interacts with the terminal through the video monitor screen, choosing and accomplishing its game, that is computed immediately and can be stored and transferred periodically to the Lottery Office, for control sake, through disk or magnetic tape, or sent electronically on-line in an automatic way through time/quantity mechanism, or even through the intervention of an authorized operator.

Soon after, the gambler makes the payment by bank or credit cards, using his data, and direct link is established with the banking or cards net. Through this, his bet is dated and identified, with total safety, by his card. Soon after, it receives a printed paper voucher of the operation, containing all the debit information and a verifying of the accomplished games. By this way, the bet coulld ceases to be anonymous, identifying the paying source and being vinculated to the bearer of the card, which can follow the result by its voucher, or, if he loses it, he can even be communicated by the Lottery Office of his eventual award, by letter, electronic mail, phone service or any other media, with total secrecy and protection of his identity, as already happens currently with the bank information. Other advantages are the total flexibility in attendance time schedules, for the terminals can be installed in kiosks with 24 working hours a day, without employees' intervention, the reduction of operational costs inherent to the linking of nets in only one terminal, the possibility to inform, by means of " menu " type option the results, warnings and statistics of previous games, the elimination of physical means of payment, the possibility of attendance in several languages, besides the easiness and speed in the making of the bets.

The accomplishment of lottery games of the scratch-and-win type by this integration process, presents

the advantage of enlarging this type of game utilization, putting at peoples disposition a new practicality for those that possess the modern resources of personal microcomputers and Internet, or have easy access to dedicated game machines (kiosks), aiming to give a new attractiveness to the gambler and fan of lottery games, once it uses Hardware and Software for, in real time, to sell, to present and to allow the "scratching" for the display of the game result in the virtual game card containing hidden numbers, letters or illustrations, everything in a virtual way, presenting a new easy and comfortable option to these players, which won't waste time in lines in the lottery sales points, because they can accomplish this game type through the Internet or directly in dedicated game machines (kiosks) located in strategic places, such as shopping centers, peoples transport terminals, leisure areas, etc., using as form of previous payment, the banking or credit cards. Besides that, there are economic advantages in not being necessary the production, distribution and management of the current game cards elaborated with relatively high cost materials, in addition to being environmentally correct.

For better understanding of the

For better understanding of the present Patent Application the following drawings are enclosed:

FIGURE 1., that shows the Block

Diagram of the Equipments for the Internet-using Process.

FIGURE 2., that shows Block Diagram with the linking of equipments (Hardware) for building of the dedicated bet terminal.

The accomplishment of the Process that uses the Internet happens through an association of computers (Hardware) and computer programs (Softwares) whose purposes are specific, that is to say: search and monitoring of incoming lines of attendance, prioritizing the accesses by arrival order and feeding the services provider; attendance to the incoming solicitations by arrival order and conditioning the communication with the applicant with the safety and necessary speed in all the phases of this communication; consult and electronic transfer of values (of the bets) to the banking and/or cards net in a safe and efficient way; emission of records of accomplishment of bets (sales), sending it to the applicant and storage adequate to the emission of reports and to the transfer to the Lottery Office; communication with the Lottery Office for transfer of values (Total accounting of bets/sales)) and of reports.

The mechanism of operation of the process using the Internet is based on the principles of consult to and commercial operation via Internet. For this are necessary the Services Provider (SP), the Users (U) and the Internet properly.

The (SP) should have a structure based on Computers, Interfaces Specific Computer Programs, ordered in such a way to make the reception of consults to be made by the users (U), to be Host of an Internet Home-Page, to be Access Provider to lottery bets (commercial part) and to accomplish operations of electronic transfer (payments) by the banking net, through cards, as well as to be able to make authentication/emission and sending of vouchers and receipts to the users (U), and to emit internal operational reports and to communicate with the Lottery Office.

The amount and form of linking of the computers is a function of the structure and attendance philosophy, that is to say, of the interface with the necessary communication media for link this provider and the Internet connection point; of the host of the pages of the system of bets attendance; of the

connection with the several servers of the banking or cards system; of a database with the structure of information and bets; of the storage and communication system, for sending and change of information with the Lottery Office.

The user (U), that is to say, the gambler, should have its computer terminal with access to the Internet, should be active customer of the banking or cards net and should possess access password (card). The necessary minimum configuration for Internet operation is inherent to the user and is in agreement with his access provider.

The Softwares will provide the operation principles, that are based on consultation to the Internet, with the following sequence:

- a) The user (U) access the net and, when "browsing" (navigating) by the same, access this service page (for example, http://www.lotomidia.com.br), which will guide him to the main menu.
- b) The main menu will inform the attendances possible to be rendered, what means, of the bet types disponible to the user (U), as well as will inform the results of previous games assisted by the lottery structure in subject, and finally will give the option to continue or to leave. If one wants to leave it , he will return to the net.
- clicking " (with the mouse) and then accessing the results of previous games or the bet type that it intends to make, and the system will offer him the possibilities together with the pertinent bet prices.
- d) If the option is to see the results, the user (U) receives them and will have the option to continue or to leave. If One wants to leave, he will return to the net. If one wants to continue he will return to the main menu mentioned in b).
- e) If the option is to bet, the user (U), after the accomplishment of his games, will have as result, in case he has not made any mistake, an overview of these games, as well as of the value of each operation to be accomplished for payment by the banking or cards. He will have the option of still continue to accomplish the game. In case he gives up, he will have the option to continue or to leave. If one wants to leave, he will return to the net, if one wants to continue, he will return to the main menu mentioned in b). In case any mistake happens or one want to cancel, it will appear the reason of the mistake and it will be given to the gambler the option of trying again; In positive case it will go back to the screen to do the bet; in negative case, or after three attempts of doing the bet, the option will be given to continue or to leave. If one want to leave, he will return to the net, if one want to continue he will return to the main menu mentioned in the
- automatically the payment consult, being typed the data for the debit or credit cards, through electronic change of information with the chosen system, and after approved the transaction, the accomplishment of the game will be confirmed and it will happen the recording of the accomplished operation, generating for the user (U), the receipt of the financial operation and emission of a voucher with the game data in the form demanded by the Lottery Provider, making them disponible for the user (U) for obligatory storage and/or printing close to the user terminal. It is possible to pay multiple games with the same payment process.

The process that uses the Internet can, as an alternative, , generate a written communication to the user (U), informing that the same won the bet or even any other general information.

The Hardware to accomplish process that uses the Internet, possesses a computer arrangement in local net through dedicated ports to the external media, that is to say, for the attendance of the Internet users, for connection with the banking and cards nets and for connection with the Lottery Office or Official Lottery Provider. The number of access ports will be a function of the traffic to be assisted. There will be four microcomputers: one for communication, ordering and sending of messages (COM), one for general processing and attendance (GPA), one for attendance to the Internet (ATI), and one for storage (STO). The four microcomputers should have the following minimum characteristics: Server and attendants Stations with a Processor with minimum clock of 200MHz; with cache memory of 256 Kbytes; with main memory of 64 Mbytes expandable to at least 128 Mbytes; with hard disk of 4 Gbytes, with Fast SCSI-2 interface, with medium time of access of 15 ms or less and with a minimum disk transfer rate of 4Mbytes/s; with a 3,5 " floppy-disk drive for 1.44Mb of formatted memory; with a CD-ROM unit of 600 Mbytes of formatted memory, SCSI-2 interface, form factor 5,25 inches, a minimum sustained rate of transfer of 330 kbps, medium time of access of 300 ms or less, reading/record by ISO 9660, High-Sierra, CD-ROM Kodak Photo CD or similar standard, with minimum eight times the standard speed; I/O unit with a parallel interface Centronics standard, two high-speed serial interfaces EIA RS-232 C/CCITT V 24/V.48 standard and a SCSI-2 Fast/Wide interface; network interface IEEE 802.3 (Ethernet) standard, PCI with an UTP port (100Base-T); a color SVGA 15 " Video Monitor with a resolution of 1024x768 points and 0.28 mm dot pitch non interlaced; expansion with at least two PCI slots, a PCI/ISA slot and 3 ISA slots; 101 keys keyboard; and three buttons mouse with a 400 dpi resolution. The microcomputer (STO) will also be endowed with an external magnetic tape unity for 4 mm DAT tapes (DAT cartridges), with a minimum formatted storage capacity of 8 Gbytes (without compression), SCSI-2 interface(standard ANSI X3.131.-1994) and minimum MTBF 70,000 hours; with a color scanner with capacity for 16.7 million colors, minimum resolution of 1,200 dpi, SCSI/SCSI-2 interface (standard ANSI X3.131-1990/1994), scan area of 8,5x14 inches, support to PICT, TIFF and EPS (minimum), and drivers that run in another platforms. The microcomputer (COM) will also be endowed with multiserial communication interfaces with 12 high-speed ports standard EIA RS-232C/CCITT V.24/V.48 and high-speed interface for connection at 64 Kbps at least.

According to FIGURE 1, the process for use of the Internet occurs in the following sequence: the user (U) will communicate with the Phone Network (PN), which will be bilaterally connected with the Services Provider (SP) that is endowed with 12 external modems (MD) compatible with the interfaces microcomputer (COM) interfaces, with computer (COM) connected bilaterally to the modems (MD) and connection box (HUB), with connection box (HUB) connected bilaterally with the microcomputer (COM), with the microcomputer (PGA), with the microcomputer (ATI) and with the microcomputer (STO), with microcomputer (PGA) connected bilaterally to the box (HUB) and connected unilaterally to the scanner (SCA) and the printer (PRI), with microcomputer (ATI) connected bilaterally to the box (HUB) and with microcomputer

(STO) connected bilaterally to the box (HUB) and the Tape Unit

The computer programs (Softwares), in the Internet using process, will be ordered and associated in such a way that they will run in local network 100 baseT, distributed in a way they will work as follow described. The chosen platform will be Windows NT and the specific programs will be distributed like this: - management of the whole system, making specific reports as well as surveillance against intruders input (Fire-Wall), - communication among the units of the local net, communication and ordaining with the access means as well as with the Internet; - storage and database updated in real time; operational safety with cryptography resources; - electronic data exchanges aiming banking/cards transfers; page hostage and maintenance in the Internet. The necessary Softwares for the Server Stations will have as minimum specification MS-DOS 6.22 or superior, Windows NT, Office Pro, Borland C++ 4.0, Visual Basic 3.0 Pro or superior, SNMP Agent, Lottery Management, Fire Wall, Antivirus, Electronics Data Exchanges/Banking/cards transfer Interface, Cryptography, HTML Pages Providing, MS Explorer or Netscape, Communication and database software Sybase or Oracle and for the Attendant Stations the following minimum specifications: MS-DOS 6.22 or superior, Windows 95/98, Cryptography, HTML Pages Providing, Communication, SNMP Agent and Access to database.

According to FIGURE 2, the dedicated equipment for lottery bets is composed of a processor (1) for general processing and accesses attendance, with central processor general processing and accesses attendance, with central processor Intel Pentium PRO or equivalent, with the following minimum requirements: clock of 200 MHz, "cache "memory of 256 Kbytes, main memory of 64 Mbytes expandable to at least 128 Mbytes, an auxiliary standard keyboard 101 keys, for access to the databases and the programs by technicians especially authorized, an unit of hard disk for database, with a formatted storage capacity of 4 Gbytes or more, endowed with type "Fast SCSI-2" communication interface ANSI v3 131-1994 standard with an access time of 15 ms interface ANSI X3.131-1994 standard, with an access time of 15 ms or less and minimum transfer rate of 4 Mbytes/s, an 3,5 " floppydisk unit with 1.44 Mbytes formatted capacity, for data storage and transfer, a " DAT " (Digital Audio Tape) tape unit for storage of data that can be periodically transferred to the lottery net, with minimum storage capacity of 8 Gbytes without data compression, with type " SCSI-2 " communication interface ANSI X3.131-1994 standard, with minimum time between failures (MTBF) of at least 70,000 hours, a CD-ROM unit for storage and transfer of data, with minimum speed 24 times greater than standard " MPC ", minimum formatted capacity of 600 Mbytes, type " SCSI-2 " communication interface, form factor of 5,25 inches, sustained transfer rate of 330 kbps or more, medium time of access of 300 ms or less, reading/record by ISO 9660, High-Sierra, CD-ROM Y-A, and Kodak Photo CD and a soundboard unit for sound alarm, a video monitor (2) type touch screen where the gambler will make its choices through a "menu" and will inform his data bank after making the bet, said monitor with the following minimum requirements: color, 14 " super VGA standard, with a resolution of 1025x768 points, 0.28 mm " dot pitch ", non interlaced, touch sensitive, a communication interface set(3) for communication (through the public phone system) with the banking, cards and lottery nets, multiserial with a minimum 6 ports, EIA RS-232C/CCITT V.24/V.48 standard and 6 modems compatible with the described interfaces and a speed of 33,6 Kbps, a magnetic cards reader unit (4), for reading of the gambler's debit or credit card, speaker (5), for sounding of the screen touches and user warnings,

a printer unit (6) for printing of the bets vouchers and payments on the gambler's part, and the electric current source (7)

The Softwares that allow the access and operation of the dedicated equipment accomplish the following sequence of events:

a) The gambler is introduced to the start screens , with alternating screens in way to avoid the burning of the video monitor (phosphorus). He should press a Start " key " at the touch sensitive monitor to the touch to access the " main menu ";

b) The " main menu " screen offers the following options: to verify the result of previous games, and to choose the possible game types (type I game, type II game, type III game, and so on). In case the system stays stable for more than 2 minutes, without any choice on the part of the gambler, it comes back at the start screens (stage a);

c) In case the gambler has opted for verifying the result of the previous games, he is presented to a " menu " screen with the following options: the date of the wanted extraction, the extraction number, or the return to the " main menu ". After opting, it is presented the requested results. In case the system stays stable for more than 2 minutes, without any choice on the part of the gambler, the system comes back to the " main menu " (stage b);

d) If, in the stage b, the gambler has chosen one of the offered games types, the system requests a confirmation of the accomplishment of the wanted game. If the option is " do not accomplish game ", the system comes back to the main menu " (stage b). If the option is "accomplish game ", the system executes the (stage e);

e) The game accomplishment " menu " offers the possible options for accomplishment of the bet, such as dozens, combinations of letters, illustrations, etc., according to the type of game chosen. After confirmation on the gambler's part, the system checks the validity of the bet, avoiding such mistakes as repetition of numbers, etc. In case mistakes had happened in the bet, the system indicates them and offers a new trying option. After a limit of 3 wrong trying, the system comes back to the " main menu " (stage b). When the bet is validated by the system, it goes to the stage f);

f) The system offers the option " to leave the game ". In case the answer is affirmative, the system comes back to the start screen (stage a). In case the answer is negative, the system passes to the stage g);

g) The " menu " of bank/credit card choice allows the gambler to indicate its bank or credit card operator for payment of the bet. After the choice, it goes to the (stage h);

h) The gambler passes his magnetic card in the card reader and his data are transferred to the banking or cards net;

i) The gambler types his data and tries to validate the payment by the system current in the banking or cards net, that is, with confirmation and a limit of 3 incorrect attempts. There is a return to the stage (f) after this limit; and j) The system prints the bet and payment voucher, containing the necessary data for the gambler to

verify the results. The system comes back to the "main menu" (stage b).

The mentioned Software and Hardware to use the Internet or the dedicated equipment will provide conditions of accomplishment of lottery bets of the "scratch-and-win" type, that in addition uses Software that realizes a process that creates two layers: the superior (covering) that can be removed by means of previous conditions that shall be obeyed (and demonstrated as follows), and the inferior layer (permanent) superimposed by the superior layer which will contain information's that are typical for this game type (prizes, information, messages, etc.).

Each virtual game card will be available or liberated only after the payment operation is concluded and it will contain the indication of a prominent region on the screen, so that the removal of the covering (erasing)can begin. This region, the gambler should click with the mouse (Internet) or should touch with finger point (kiosk), to begin the removal of this superior layer. This process, once begun, is irreversible. In case the award is won, it will appear in the screen, for posterior printing, a ticket with all this operation information, from which the player will opt for receiving its prize via electronic bank operation or via authorized bank. The payment of the prize can be made automatically by means of deposit in the gambler's bank account (eventually, the same account used previously to pay the virtual "scratch-and-win" game), a voucher of the values deposited in bank account being emitted.

The superior layer will be removed, and it will only be altered once with the "scratching", by use of the mouse, in the case of a microcomputer linked to the Internet, or by the use of the finger, in the case of dedicated game machine (kiosks); These layers will be assembled a priori, taken into account the information previously established by the Lottery Office and by this type of game administrator, appropriately coded, and they will be open by the Software so that it is made a number of virtual game cards controlled by this office, properly numbered and coded, and whose control will be done by means of on-line operation, providing that the liberation of the winning virtual game card can be a random, sequential or criteria one. The inferior layer will be fixed and never will have conditions of being altered, its information will be valid in any situation and they will be recognized by any agent authorized by this game provider office. For this, can be printed in specific format, so much in the dedicated game machines, with common paper and with a characteristic printing, when the award happens (otherwise the impression won't be liberated), as in microcomputer terminals linked to the Internet or to the consults terminal, with normal printing paper also with a characteristic printing and in identical way in the case of award.

#### CLAIMS

1. "HARDWARE AND SOFTWARE INTEGRATION PROCESS FOR LOTTERY BETS OPERATION", characterized by, utilization of Internet with the following sequence: the user (U) will communicate with the Phone Network (PN), which will be bilaterally connected with the Services Provider (SP) that is endowed with 12 external modems (MD) compatible with the interfaces microcomputer (COM) interfaces, with computer (COM) connected bilaterally to the modems (MD) and connection box (HUB), with connection box (HUB) connected bilaterally with the microcomputer (COM), with the microcomputer (PGA), with the microcomputer (ATI) and with the microcomputer (STO), with microcomputer (PGA) connected bilaterally to the box (HUB) and connected unilaterally to the scanner (SCA) and the printer (PRI), with microcomputer (ATI) connected bilaterally to the box (HUB) and with microcomputer (STO) connected bilaterally to the box (HUB) and the Tape Unit (TU) and utilizing microcomputers (COM,GPA,ATI and STO) with the following minimum characteristics: Server and Attendants Stations with a Processor with minimum clock of 200MHz; with cache memory of 256 Kbytes; with main memory of 64 Mbytes expandable to at least 128 Mbytes; with hard disk of 4 Gbytes, with Fast SCSI-2 interface, with medium time of access of 15 ms or less and with a minimum disk transfer rate of 4Mbytes/s; with a 3,5 " floppy-disk drive for 1.44Mb of formatted memory; with a CD-ROM unit of 600 Mbytes of formatted memory, SCSI-2 interface, form factor 5,25 inches, a minimum sustained rate of transfer of 330 kbps, medium time of access of 300 ms or less, reading/record by ISO 9660, High-Sierra, CD-ROM Kodak Photo CD or similar standard, with minimum eight times the standard speed; I/O unit with a parallel interface Centronics standard, two high-speed serial interfaces EIA RS-232 C/CCITT V 24/V.48 standard and a SCSI-2 Fast/Wide interface; network interface IEEE 802.3 (Ethernet) standard, PCI with an UTP port (100Base-T); a color SVGA 15 " Video Monitor with a resolution of 1024x768 points and 0.28 mm dot pitch non interlaced; expansion with at least two PCI slots, a PCI/ISA slot and 3 ISA slots; 101 keys keyboard; and three buttons mouse with a 400 dpi resolution. The microcomputer (STO) will also be endowed with an external magnetic tape unity for 4 mm DAT tapes (DAT cartridges), with a minimum formatted storage capacity of 8 Gbytes (without compression), SCSI-2 interface(standard ANSI X3.131.-1994) and minimum MTBF 70,000 hours; with a color scanner with capacity for 16.7 million colors, minimum resolution of 1,200 dpi, SCSI/SCSI-2 interface (standard ANSI X3.131-1990/1994), scan area of 8,5x14 inches, support to PICT, TIFF and EPS (minimum), and drivers that run in another platforms, being the microcomputer (COM) will also be endowed with multiserial communication interfaces with 12 highspeed ports standard EIA RS-232C/CCITT V.24/V.48 and high-speed interface for connection at 64 Kbps at least, and with the following minimum Softwares to the Server Stations: MS-DOS 6.22 or superior, Windows NT, Office Pro, Borland C++ 4.0, Visual Basic 3.0 Pro or superior, SNMP Agent, Lottery Management, Fire Wall, Antivirus, Electronics Data Exchanges/Banking/cards transfer Interface, Cryptography, HTML Pages Providing, MS Explorer or Netscape, Communication and database software Sybase or Oracle and for the Attendant Stations the following minimum specifications: MS-DOS 6.22 or superior, Windows 95/98, Cryptography, HTML Pages Providing, Communication, SNMP Agent and Access to database, or utilization of dedicated equipment with the following sequence:

a) The gambler is introduced to the start screens, with alternating screens in way to avoid the burning of the video monitor (phosphorus). He should press a Start "key" at the touch sensitive monitor to the touch to access the "main menu";

b) The " main menu " screen offers the following options: to verify the result of previous games, and to choose the possible game types (type I game, type II game, type III game, and so on). In case the system stays stable for more than 2 minutes, without any choice on the part of the gambler, it comes back at the start screens (stage a);

c) In case the gambler has opted for verifying the result of the previous games, he is presented to a "menu" screen with the following options: the date of the wanted extraction, the extraction number, or the return to the "main menu". After opting, it is presented the requested results. In case the system stays stable for more than 2 minutes, without any choice on the part of the gambler, the system comes back to the "main menu" (stage b);

d) If, in the stage b, the gambler has chosen one of the offered games types, the system requests a confirmation of the accomplishment of the wanted game. If the option is "do not accomplish game", the system comes back to the "main menu" (stage b). If the option is "accomplish game", the system executes the (stage e);

e) The game accomplishment " menu " offers the possible options for accomplishment of the bet, such as dozens, combinations of letters, illustrations, etc., according to the type of game chosen. After confirmation on the gambler's part, the system checks the validity of the bet, avoiding such mistakes as repetition of numbers, etc. In case mistakes had happened in the bet, the system indicates them and offers a new trying option. After a limit of 3 wrong trying, the system comes back to the "main menu" (stage b). When the bet is validated by the system, it goes to the stage f);

f) The system offers the option " to leave the game ". In case the answer is affirmative, the system comes back to the start screen (stage a). In case the answer is negative, the system passes to the (stage g);

g) The "menu" of bank/credit card choice allows the gambler to indicate its bank or credit card operator for payment of the bet. After the choice, it goes to the (stage h).

h) The gambler passes his magnetic card in the card reader and his data are transferred to the banking or cards net;

i) The gambler types his data and tries to validate the payment by the system current in the banking or cards net, that is, with confirmation and a limit of 3 incorrect attempts. There is a return to the stage (f) after this limit; and j) The system prints the bet and

payment voucher, containing the necessary data for the gambler to verify the results. The system comes back to the "main menu" (stage b), and that utilizing Hardware with a processor (1) for general processing and accesses attendance, with central processor Intel Pentium PRO or equivalent, with the following minimum requirements: clock of 200 MHz, " cache " memory of 256 Kbytes, main memory of 64 Mbytes expandable to at least 128 Mbytes, an auxiliary standard keyboard 101 keys, for access to the databases and the programs by technicians especially authorized, an unit of hard disk for

database, with a formatted storage capacity of 4 Gbytes or more, endowed with type "Fast SCSI-2" communication interface ANSI X3.131-1994 standard, with an access time of 15 ms or less and minimum transfer rate of 4 Mbytes/s, an 3,5 "floppy-disk unit with 1.44 Mbytes formatted capacity, for data storage and transfer, a " DAT " (Digital Audio Tape) tape unit for storage of data that can be periodically transferred to the lottery net, with minimum storage capacity of 8 Gbytes without data compression, with type " SCSI-2 " communication interface ANSI X3.131-1994 standard, with minimum time between failures (MTBF) of at least 70,000 hours, a CD-ROM unit for storage and transfer of data, with minimum speed 24 times greater than standard "MPC", minimum formatted capacity of 600 Mbytes, type "SCSI-2" communication interface, form factor of 5,25 inches, sustained transfer rate of 330 kbps or more, medium time of access of 300 ms or less, reading/record by ISO 9660, High-Sierra, CD-ROM X-A, and Kodak Photo CD and a soundboard unit for sound alarm, a video monitor (2) type touch screen where the gambler will make its choices through a " menu " and will inform his data bank after making the bet, said monitor with the following minimum requirements: color, 14 " super VGA standard, with a resolution of 1025x768 points, 0.28 mm " dot pitch ", non interlaced, touch sensitive, a communication interface set(3) for communication (through the public phone system) with the banking, cards and lottery nets, multiserial with a minimum 6 ports, EIA RS-232C/CCITT V.24/V.48 standard and 6 modems compatible with the described interfaces and a speed of 33,6 Kbps, a magnetic cards reader unit (4), for reading of the gambler's debit or credit card, speaker (5), for sounding of the screen touches and user warnings, a printer unit (6) for printing of the bets vouchers and payments on the gambler's part, and the electric current source (7).

2. "HARDWARE AND SOFTWARE INTEGRATION PROCESS FOR LOTTERY BETS OPERATION", as cited at claim 1, characterized by, provide accomplishment of lottery bets of "scratch-and-win" type, with the following sequence of process:

a)it creates two layers: the superior (covering) that can be removed by means of previous conditions that shall be obeyed (and demonstrated as follows), and the inferior layer (permanent) superimposed by the superior layer which will contain information's that are typical for this game type (prizes, information, messages, etc.);

b) Each virtual game card will be available or liberated only after the payment operation is concluded and it will contain the indication of a prominent region on the screen, so that the removal of the covering (erasing) can begin. This region, the gambler should click with the mouse (Internet) or should touch with finger point (kiosk), to begin the removal of this superior layer. This process, once begun, is irreversible;

c) In case the award is won, it will appear in the screen, for posterior printing, a ticket with all this operation information, from which the player will opt for receiving its prize via electronic bank operation or via authorized bank. The payment of the prize can be made automatically by means of deposit in the gambler's bank account (eventually, the same account used previously to pay the virtual "scratch-and-win" game), a voucher of the values deposited in bank account being emitted;

d) The superior layer will be removed, and it will only be altered once with the "scratching", by use of the mouse, in the case of a microcomputer linked to the Internet,

or by the use of the finger, in the case of dedicated game machine (kiosks); These layers will be assembled a priori, taken into account the information previously established by the Lottery Office and by this type of game administrator, appropriately coded, and they will be open by the Software so that it is made a number of virtual game cards controlled by this office, properly numbered and coded, and whose control will be done by means of on-line operation, providing that the liberation of the winning virtual game card can be a random, sequential or criteria one; and

e) The inferior layer will be fixed and never will have conditions of being altered, its information will be valid in any situation and they will be recognized by any agent authorized by this game provider office. For this, can be printed in specific format, so much in the dedicated game machines, with common paper and with a characteristic printing, when the award happens (otherwise the impression won't be liberated), as in microcomputer terminals linked to the Internet or to the consults terminal, with normal printing paper also with a characteristic printing and in identical way in the case of award.

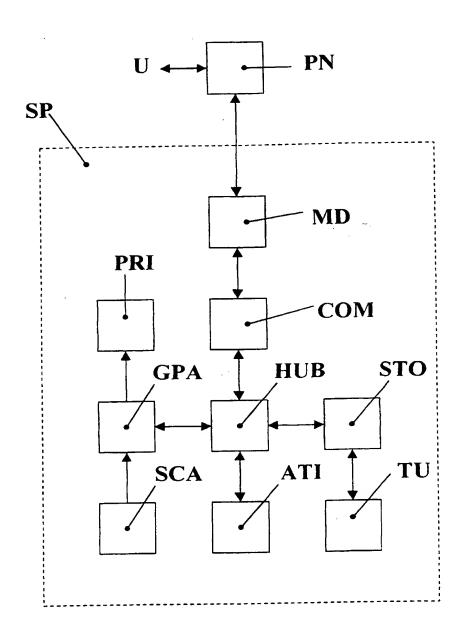


FIG. 1



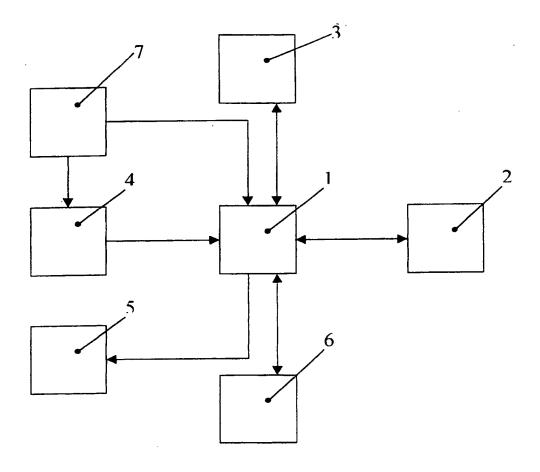


FIG. 2



#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

G07C 15/00

(11) International Publication Number:

WO 99/63494

A2

(43) International Publication Date:

9 December 1999 (09.12.99)

(21) International Application Number:

PCT/BR99/00044

(22) International Filing Date:

28 May 1999 (28.05.99)

(30) Priority Data:

PI 9802634-8 1 June 1998 (01.06.98) BR
PI 9802635-8 1 June 1998 (01.06.98) BR
PI 9805759-6 24 December 1998 (24.12.98) BR

(71) Applicant (for all designated States except US): LOTOMÍDIA SERVIÇOS LOTÉRICOS LTDA. [BR/BR]; Avenida Sete de Setembro, 3656, Centro, CEP-80250-210 Curitiba, PR (BR).

(72) Inventors; and

- (75) Inventors/Applicants (for US only): WENDLER, Carlos, Eduardo [BR/BR]; (BR). WENDLER, Sueli, Terezinha, Neves [BR/BR]; Rua Professór Alvaro Jorge, 480, Vila Izabel, CEP-80320-040 Curitiba, PR (BR). SITNIK, Boris [-/BR]; Rua Leocádio Julio de Assumpção, 10, Cascatinha, CEP-82020-150 Curitiba, PR (BR).
- (74) Agent: A CRIATIVA MARCAS E PATENTES S/C LTDA.; Rua Saldanha da Gama, 51, Conjunto 18, Centro, CEP-80060-170 Curitiba, PR (BR).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

#### Published

Without international search report and to be republished upon receipt of that report.

(54) Title: HARDWARE AND SOFTWARE INTEGRATION PROCESS FOR LOTTERY BETS OPERATION

#### (57) Abstract

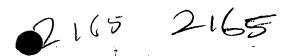
The present Patent Application refers to a "Hardware and Software Integration Process for Lottery Bets Operation" of all kinds, including Instant Prize (scratch-and-win type), either by the Internet or by dedicated equipment, in the first case through the use of Equipments (Hardware) and Computer Programs (Softwares), acting as an Internet Service Provider, in such a way using, in a safe and efficient form, the Internet for the accomplishment of bets with the Official Lottery Provider, utilizing the electronic banking transfer of funds method as a form of payment. In case of using the dedicated equipment use, such equipment is an electronic-mechanical one, of the consult terminal type, capable to accomplish lottery bets with direct interaction between the gambler and the machine, with connection so with the official Lottery Provider, as with the banking net, allowing the immediate payment through cards, what brings advantages of safety, so in the operation as in the payment source identification, avoiding frauds and prize losses, and bringing simplicity and comfort to the operation.

#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	ΙE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	ľΤ	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

09/201627



#### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



### 

# (10) International Publication Number WO 99/63494 A3

#### (43) International Publication Date 9 December 1999 (09.12.1999)

**PCT** 

(51) International Patent Classification<sup>6</sup>:

G07F 17/32

(21) International Application Number: PCT/BR99/00044

(22) International Filing Date: 28 May 1999 (28.05.1999)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

PI 9802634-8 1 June 1998 (01.06.1998) BR PI 9802635-8 1 June 1998 (01.06.1998) BR PI 9805759-6 24 December 1998 (24.12.1998) BR

(71) Applicant (for all designated States except US): LO-TOMÍDIA SERVIÇOS LOTÉRICOS LTDA. [BR/BR]; Avenida Sete de Setembro, 3656, Centro, CEP-80250-210 Curitiba, PR (BR). (72) Inventors; and

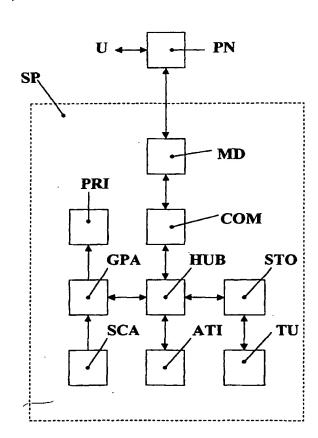
(75) Inventors/Applicants (for US only): WENDLER, Carlos, Eduardo [BR/BR]; Rua Professor Alvaro Jorge, 480, Vila Izabel, CEP-80320-040 Curitiba, PR (BR). WENDLER, Sueli, Terezinha, Neves [BR/BR]; Rua Professor Alvaro Jorge, 480, Vila Izabel, CEP-80320-040 Curitiba, PR (BR). SITNIK, Boris [BR/BR]; Rua Leocádio Julio de Assumpção, 10, Cascatinha, CEP-82020-150 Curitiba, PR (BR).

(74) Agent: A CRIATIVA MARCAS E PATENTES S/C LTDA.; Rua Conselheiro Carrao n.470, Juveve, CEP-80.040-130 Curitiba, PR (BR).

(81) Designated States (national): AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW.

[Continued on next page]

(54) Title: GAMING METHOD



(57) Abstract: The present Patent Application refers to a "Hardware and Software Integration Process for Lottery Bets Operation" of all kinds, including Instant Prize (scratch-and-win type), either by the Internet or by dedicated equipment, in the first case through the use of Equipments (Hardware) and Computer Programs (Softwares), acting as an Internet Service Provider, in such a way using, in a safe and efficient form, the Internet for the accomplishment of bets with the Official Lottery Provider, utilizing the electronic banking transfer of funds method as a form of payment. In case of using the dedicated equipment use, such equipment is an electronic-mechanical one, of the consult terminal type, capable to accomplish lottery bets with direct interaction between the gambler and the machine, with connection so with the official Lottery Provider, as with the banking net, allowing the immediate payment through cards, what brings advantages of safety, so in the operation as in the payment source identification, avoiding frauds and prize losses, and bringing simplicity and comfort to the operation.

O 99/63494 AS



(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

#### (88) Date of publication of the international search report: 12 April 2001

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

#### Published:

With international search report.

PECEIVED

Nony 4 - 2001

Technology Center 2100



### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau

### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

WO 99/63494 (11) International Publication Number: (51) International Patent Classification 6: **A2** 9 December 1999 (09.12.99) G07C 15/00 (43) International Publication Dates

PCT/BR99/00044 (21) International Application Number:

28 May 1999 (28.05.99) (22) International Filing Dute:

(30) Priority Data: 1 June 1998 (01.06.98) RR PI 9802634-8 HR 1 June 1998 (01.06.98) PI 9802635-8 24 December 1998 (24.12.98) PI 9805759-6

(71) Applicant (for all designated States except US): LOTOMINIA SERVIÇOS LOTERICOS LTDA. [BR/BK]; Avenida Scie de Setembro, 3656, Centro, CEP 80250-210 Curitiba, PR

(72) Inventors, and (75) Inventors/Applicants (for US only): WENDLER, Curlos, Eduardo [BR/BR]; (BR). WENDLER, Sueli, Terezinha, Neves [BR/BR]; Rus Professor Alvaro Jorge, 480, Vila Izabel, CEP-80320-040 Curitiba, PR (BR), SITNIK, Boris [-/BR]; Rua Leocádio Julio de Assumpção, 10, Cascatinha, CH2-82020-150 Curitiba, PR (BR).

(74) Agent: A CRIATIVA MARCAS E PATENTES S/C LTDA.; Rua Saldanha da Garria, 51, Conjunto 18, Contro. CEP-80060-170 Curitiba, PR (BR).

(81) Designated States: Al., AM, AT, All, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, RS, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MO, MK, MN, MW, MX, NO, NZ, PL, PT, RU, RU, SD, SH, SO, SI, SK, SL, TJ, TM, TR, TT, UA. UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ BY, KG, KZ, MD, RU, TJ, TMO, European patent (AT. BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, YT, LU. MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CJ, CM, UA, GN, GW, ML, MR, NE, SN, TD, TG).

**Published** 

Without international search report and to be republished upon reveipt of that report.

(54) THE: HARDWARE AND SOFTWARE INTEGRATION PROCESS FOR LOTTERY BETS OPERATION

#### (57) Abstract

The present Patent Application refers to a "Hardware and Software Integration Process for Lottery Bets Operation" of all kinds, Including Instant Prize (scrauch-and-win type), either by the Internet or by dedicated equipment, in the first case through the use of Equipments (Hardware) and Computer Programs (Softwares), acting as an Internet Service Provider, in such a way using, in a safe and efficient form, the Internet for the accomplishment of bets with the Official Lottery Provider, utilizing the electronic banking transfer of funds method as a form of payment. In case of using the dedicated equipment use, such equipment is an electronic-mechanical one, of the consult terminal type, capable to accomplish lottery bets with direct interaction between the gambler and the machine, with connection so with the official Lottery Provider, as with the banking net, allowing the immediate payment through cards, what brings advantages of safety, so in the operation as in the payment source identification, avoiding frauds and prize losses, and bringing simplicity and comfort to the operation.

### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

				1.9	Lesotho	.91	Slovonia
AL.	Albenia	RS	Spain			SK.	Slovekia
AM	Armenia	<b>Y</b> 1	Finland	1.T	T, ithmands	KN	Senegal
AT	Agustin	PR	France	1.11	Luxenbourg	82	Swaziland
AU	Austraka	GA	Gabon	£v.	Latvia		Chad
AZ	Azobejpa	GB	Upited Kingdom	MC	Monaco	TD	
BA	Bounia and Horsegovina	CR	Georgia	MD	Republic of Minkings	TC:	'l'ogo
BB	Barbados	GH	Ghana.	MG	Madagaran.	T.	Tajikhtan
BE.	Belgium	GN	Culors	MK	The former Yugonlay	TM	Terkracuista#
BF.	Burkina Paso	CB	Grocce		Republic of Macedonia	TR	Turkey
BC.	Bulgaria	HU	Magary	ML	Mali	TT	Trinided and Tobago
BJ.	Bugara Benin	ts.	Ireland	MIN	Mongoile	UA	Ukraine
44	Bresil	n.	teraci	MR	Maurituwia	NG	Uganda
BY	Brimus	LS	iccland	MW	Malawi	US	United States of America
CA	Cmada	£T.	Italy	MX	Mexico	UŽ	Uchekistun
Ċ	Control African Republic	JP.	Japan	NV.	Niger	VN	Virt. Num
œ	Congo	KE	Kceya	NI.	Netherlands	YU	Yuguslavia
CH	Switzerland	KG	Kyrgyzsten	NO	Norway	zw	Zimbabwe
		KP	Democratic People's	NZ	New Zeakard		
Ċĭ	Côte d'Ivoire	<b>JU</b>	Republic of Kreca	PL	Poland		
CM	Carmerouli	KR	Republic of Kores	PT	Portugal		
CN	Chies	KZ	Karakeran	RO	Romania		
<u>CV</u>	Colm	ic	Saint Lucia	RU	Russian Pederation		
CZ	Czech Republik	LL.	Licontenuein	SD	Suden		
DE.	Germany		Sei Lanka	SE	Sweden		
DK	1) <del>countre</del>	LX		SU	Singapure		
RT.	Esconia	LX	Liberta	30			

=> THE KLINE LAW FIRM;

09/701627

WO 99/63880

529 Rec'd PCT/PTC 3 0 NOV 2000

"HARDWARE AND SOFTWARE INTEGRATION PROCESS FOR LOTTERY BETS OPERATION"

The present Patent Application refers to a Process of Hardware and Software Integration for Operating Lottery Bets of all kinds, including Instant Prize (scratch-and-win type). either by the Internet or by dedicated equipment, in the first case through the use of Equipments (Hardware) and Computer Programs (Software), acting as an Internet Service Provider, in such a way using, in a sate and efficient form, the Internet for the accomplishment of bets with the Official Lottery Provider, utilizing the electronic banking transfer of funds method as a form of payment. In case of using the dedicated equipment use, such equipment is an electronic-mechanical one, of the consult terminal type, capable to accomplish lottery bets with direct interaction between the gambler and the machine, with connection so with the Official Lottery Provider, as with the banking net, allowing the immediate payment through cards, what brings advantages of safety, so in the operation as in the payment source identification, avoiding trauds and prize losses, and bringing simplicity and comfort to the operation. The use of this process for the Internet can be made by any computer terminal that is able to be connected to the Internet, through a World Wide Wcb (WWW) page to be provided by an Internet Services Provider. This service, which uses and is connected on-line to the banking net, aims to facilitate the accomplishment of bets without need of the gambler displacement, allowing, from an initial centralization, a virtual expansion of bet-making points and substantially simplifying the form of bet payment. This service still provides an economy of time and physical displacement, as well as safety and comfort to the gambler, freedom to make bets out of business hours with the possibility of redrawing and flexibility of functioning time, the non-use of physical means of payment, the possibility of interdict bets from legally non-permitted people, as well as the easiness offered to those disabled to move for several reasons, and the diversitication of languages for bet making. Finally, this process can facilitate identification of the origin of the operation and of the bets payment source, helping in the resolution of possible litigations originating from the loss of the game's voucher.

As it is well acknowledged by lotteries users, and in particular by the technicians operating the Lottery, it can be bet nowadays by the following ways:

1. For Lottery of Numbers games or Sports-linked Lotteries as the Superbowl's, it is necessary to go to a lottery shop, to fill a bet card, pass it to an operator, that puts it in the system with electronic validation through a cards reader, with delivery of a printed paper voucher containing the bet informations, the date, the number of the raffle, the amount to pay or other valid information. These bet data are transferred to the Official Lottery Provider, that computes and consolidates them. The amount is then paid by the gambler in money, check, or, if the lottery shop have them, through electronic means as credit card or banking net. The payment system is, this way, totally dissociated of the system of bets, not having a linking among the net of the lottery office and the banking net. The most serious disadvantage of this current system is that, if the gambler win the bet prize, its only comprobatory document is a paper voucher. The prize is then paid to the bearer of the voucher, what allows the possibility of frauds, the loss of the prize when one gets lost the voucher, or the undue payment to people that didn't make the winning bet. Other disadvantages are the need to make the bet at the business hours,

2

restraining the consumer's freedom of schedule, the inherent cost to the operation of periphericals of two separate nets, besides the low agility and comfort of the transaction for the user of the systems.

2. Dedicated machine of the type calculator-validator-printer installed in the lottery shops and linked on-line to the Official Lottery Provider, in that the bet card is handwritten through paper cards, being the hets marked in such cards electronically validated through a card reader, with delivery of a printed paper voucher containing the bets, the date, the number of raffle, the amount to pay and other valid information, and the voucher. The data of the bet are then transferred to the Official Lottery Provider, that computes them and consolidates them. The value is then paid to the gambler in money, check, or, if the lottery shop have them, through electronic means as credit card or banking net. The payment system is, this way, totally dissociated of the bet system, not having a link between the net of the lottery office and the banking net. The most serious disadvantage of this current system is that, if the gambler win the bet prize, its only comprobatory document is a paper voucher. The prize is then paid to the bearer of the voucher, what allows the possibility of frauds, the loss of the prize when one gets lost the voucher, or the undue payment to people that didn't make the winning bet. Other disadvantages are the need to make the bet at the time in wich the shop works , restraining the consumer's freedom of schedule, the inherent cost to the operation of periphericals of two separate nets, besides the low agility and comfort of the transaction for the user of the systems.

3. Dedicated machine of the type calculator-monitor-printer, installed in places like bars, snack bars and similar stablishments, showing through a video monitor the accomplishment of number bets in recurrent periods of time, like those denominated "Pimba" game in Brazil, these machines being linked on-line with the Official Lottery Provider. This type of machine presents the disadvantages of having the payment system totally dissociated of the bet system, not having a link between the net of the lottery office and the banking net, besides the need to make the bet at the time in wich the shop works, restraining the consumer's freedom of schedule, the inherent cost of the operation of periphericals of two separate nets, besides the low agility and comfort of the transaction for the user of the systems.

4. Dedicated machine of the video-game and/or video-poker type, installed in places like bingos, that play games like the so called "jogo-da-velha" in Brazil, and that are linked on-line with the operator of the lottery office for revenue control. This type of machine presents the disadvantages of having the payment system totally dissociated of the bet system, not having a link between the net of the lottery office and the banking net, besides the need to make the bet at the time in which the shop works, cestraining the consumer's freedom of schedule, the inherent cost of the operation of periphericals of two separate nets, besides the low agility and comfort of the transaction for the user of the systems.

5. Games of the Instant-Prize (scratch-and-win type)lottery, like "raspadinha" in Brazil, that are made through the previous purchase of a carton or paper ticket printed with numbers, letters or figures, such printings being covered by a removable opaque film that, on being scraped with the fingernail or with appropriate rasper, looses from the ticket.

option to the users of lotteries, through use of invarnet or through dedicated equipment for the accomplishment of the bets and use of appropriate equipments and computer programs to provide an on-line connection to the Lottery Operation Centers and to banking net and, providing a virtual expansion of points of accomplishment of bets, simplifying the form and guaranteeing the payment of the same ones.

The service using the Internet still provides an economy of time and physical displacement, as well as safety and comfort to the gambler, freedom to make bets out of business hours with the possibility of redrawing and flexibility of functioning time, the non-use of physical means of payment, the possibility of interdict bets from legally non-permitted people, as well as the easiness offered to those disabled to move for several reasons, and the diversification of languages for bet making. Finally, this process facilitates identification of the origin of the operation and of the bets payment source, helping in the resolution of possible litigations originating from the loss of the game's voucher.

The dedicated equipment presents advantages of using a consult terminal (kiosk), where the own gambler interacts with the terminal through the video monitor screen, choosing and accomplishing its game, that is computed immediately and can be stored and transferred periodically to the Lottery Office, for control sake, through disk or magnetic tape, or sent electronically on-line in an automatic way through time/quantity mechanism, or even through the intervention of an authorized operator.

Soon after, the gambler makes the payment by bank or credit cards, using his data, and direct link is established with the banking or cards net. Through this, his bet is dated and identified, with total safety, by his card. Soon after, it receives a printed paper voucher of the operation, containing all the debit information and a verifying of the accomplished games. By this way, the bet could ceases to be anonymous, identifying the paying source and being vinculated to the bearer of the card, which can follow the result by its voucher, or, if he loses it, he can even be communicated by the Lottery Office of his eventual award, by letter, electronic mail, phone service or any other media, with total secrecy and protection of his identity, as already happens currently with the bank information. Other advantages are the total flexibility in attendance time schedules, for the terminals can be installed in kiosks with 24 working hours a day, without employees' intervention, the reduction of operational costs inherent to the linking of nets in only one terminal, the possibility to inform, by means of " menu " type option the results, warnings and statistics of previous games, the elimination of physical means of payment, the possibility of attendance in several languages, besides the easiness and speed in the making of the bets.

The accomplishment of lottery games of the scratch-and-win type by this integration process, presents

the advantage of enlarging this type of game unilization, putting at peoples disposition a new practicality for those that possess the modern resources of personal microcomputers and Internet, or have easy access to dedicated game machines (kiosks), aiming to give a new attractiveness to the gambler and fan of lottery games, once it uses Hardware and Software for, in real time, to sell, to present and to allow the "scratching" for the display of the game result in the virtual game card containing hidden numbers, letters or illustrations, everything in a virtual way, presenting a new easy and comfortable option to these players, which won't waste time in lines in the lottery sales points, because they can accomplish this game type through the Internet or directly in dedicated game machines (kiosks) located in strategic places, such as shopping centers, peoples transport terminals, leisure areas, etc., using as form of previous payment, the banking or credit cards. Besides that, there are economic advantages in not being necessary the production, distribution and management of the current game cards elaborated with relatively high cost materials, in addition to being environmentally correct.

For better understanding of the present Patent Application the following drawings are enclosed:

FIGURE 1., that shows the Block

Diagram of the Equipments for the Internet-using Process.

FIGURE 2., that shows Block Diagram with the linking of equipments (Hardware) for building of the dedicated bet terminal.

The accomplishment of the Process that uses the Internet happens through an association of computers (Hardware) and computer programs (Softwares) whose purposes are specific, that is to say: search and monitoring of incoming lines of attendance, prioritizing the accesses by arrival order and teeding the services provider; attendance to the incoming solicitations by arrival order and conditioning the communication with the applicant with the safety and necessary speed in all the phases of this communication; consult and electronic transfer of values (of the bets; to the banking and/or cards net in a safe and efficient way; emission of records of accomplishment of bets (sales), sending it to the applicant and storage adequate to the emission of reports and to the transfer to the Lottery Office; communication with the Lottery Office for transfer of values (Total accounting of bets/sales)) and of reports.

The mechanism of operation of the process using the Internet is based on the principles of consult to and commercial operation via Internet. For this are necessary the Services Provider [38], the Users (0) and the Internet properly.

The (SP) should have a structure based on Computers, Interfaces Specific Computer Programs, ordered in such a way to make the reception of consults to be made by the users (U), to be Host of an Internet Home-Page, to be Access Provider to lottery bets (commercial part) and to accomplish

3

showing the content of the game and, consequently, its result. This game system is, currently, sought principally by people that walk around very popular and crowded places, and they should go to the commercialization points to make this, having, eventually, to wait lines to acquire the tickets.

SOFTWARE INTEGRATION PROCESS FOR LOTTERY BETS OPERATION", that is the object of the present patent, was developed to give a new option to the users of lotteries, through use of Internet or through dedicated equipment for the accomplishment of the bets and use of appropriate equipments and computer programs to provide an on-line connection to the Lottery Operation Centers and to banking net and, providing a virtual expansion of points of accomplishment of bets, simplifying the form and guaranteeing the payment of the same ones.

The service using the Internet still provides an economy of time and physical displacement, as well as safety and comfort to the gambler, freedom to make bets out of business hours with the possibility of redrawing and flexibility of functioning time, the non-use of physical means of payment, the possibility of interdict bets from legally non-permitted people, as well as the easiness offered to those disabled to move for several reasons, and the diversification of languages for bet making. Finally, this process facilitates identification of the origin of the operation and of the bets payment source, helping in the resolution of possible litigations originating from the loss of the yame's voucher.

The dedicated equipment presents advantages of using a consult terminal (kiosk), where the own gambler Interacts with the terminal through the video monitor screen, choosing and accomplishing its game, that is computed immediately and can be stored and transferred periodically to the Lottery Office, for control sake, through disk or magnetic tape, or sent electronically on-line in an automatic way through time/quantity mechanism, or even through the intervention of an authorized operator.

Soon after, the gambler makes the payment by bank or credit cards, using his data, and direct link is established with the banking or cards net. Through this, his bet is dated and identified, with total safety, by his card. Soon after, it receives a printed paper voucher of the operation, containing all the debit information and a verifying of the accomplished games. By this way, the bet could ceases to be anonymous, identifying the paying source and being vinculated to the beater of the card, which can follow the result by its voucher, or, if he loses it, he can even be communicated by the Lottery Office of his eventual award, by letter, electronic mail, phone service or any other media, with total secrecy and protection of his identity, as already happens currently with the bank information. Other advantages are the total flexibility in attendance time schedules, for the terminals can be installed in kinsks with 24 working hours a day, without employees' intervention, the reduction of operational costs inherent to the linking of nets in only one terminal, the possibility to inform, by means of "menu" type option the results, warnings and statistics of previous games, the climination of physical means of payment, the possibility of attendance in several languages, besides the easiness and speed in the making of the bets.

The accomplishment of lottery games of the scratch-and-win type by this integration process, presents

Extended page 5.3

pased on computers, interraces specific computer frograms, states in such a way to make the reception of consults to be made by the users (U), to be Host of an Internet Home-Page, to be Access Provider to lottery bets (commercial part) and to accomplish operations of electronic transfer (payments) by the banking net, through cards, as well as to be able to make authentication/emission and sending of vouchers and receipts to the users (U), and to emit internal operational reports and to communicate with the Lottery Office.

The amount and form of linking of the computers is a function of the structure and attendance philosophy, that is to say, of the interface with the necessary communication media for link this provider and the Internet connection point; of the host of the pages of the system of bets attendance; of the

PCT/EP99/03848

WO 99/63080

5

connection with the several servers of the banking or cards system; of a database with the structure of information and bets; of the storage and communication system, for sending and change of information with the Lottery Office.

The user (U), that is to say, the gamble, should have its computer terminal with access to the Internet, should be active customer of the banking or cards net and should possess access password (card). The necessary minimum configuration for Internet operation is inherent to the user and is in agreement with his access provider.

The Softwares will provide the operation principles, that are based on consultation to the Internet, with the following sequence:

a: The user (U) access the net and, when "browsing" (navigating) by the same, access this service page (for example, http://www.lotomidia.com.br), which will guide him to the

b) The main menu will inform the attendances possible to be rendered, what means, of the bet types disponible to the user (U), as well as will inform the results of previous games assisted by the lottery structure in subject, and finally will give the option to continue or to leave. If one wants to leave it, he will return to the net.

To continue, the user (U) chooses by " clicking " (with the mouse) and then accessing the results of previous games or the bet type that it intends to make, and the system will offer him the possibilities together with the pertinent bet prices.

d) If the option is to see the results, the user (U) receives them and will have the option to continue or to lcave. If One wants to leave, he will return to the net. If one wants to continue he will return to the majn menu mentioned in b).

e) If the option is to bet, the user (U), after the accomplishment of his games, will have as result, in case he has not made any mistake, an overview of these games, as well as of the value of each operation to be accomplished for payment by the banking or cards. He will have the option of still continue to accomplish the game. In case he gives up, he will have the option to continue or to leave. If one wants to leave, he will return to the net, if one wants to continue, he will return to the main menu mentioned in b). In case any mistake happens or one want to cancel, it will appear the reason of the mistake and it will be given to the gambler the option of trying again; In positive case it will go back to the screen to do the bet; in negative case, or after three attempts of doing the bet, the option will be given to continue or to leave. If one want to leave, he will return to the net, if one want to continue he will return to the main menu mentioned in the item b).

2) In case he doesn't give up, it will be made automatically the payment consult, being typed the data for the debit or credit cirds, through electronic change of information with the chosen system, and after approved the transaction, the accomplishment of the game will be confirmed and it will happen the recording of the accomplished operation, generating for the user (U), the receipt of the financial operation and emission of a voucher with the game data in the form demanded by the Lottery Provider, making them disponible for the user (U) for obligatory storage and/or printing close to the user terminal. It is possible to pay multiple games with the same payment process.

WO 99/63080 PCT/EP99/03848

The process that uses the Internet can, as an alternative, , generate a written communication to the user  $\{U\}$ , informing that the same won the bet or even any other general information.

The Hardware to accomplish process that uses the internet, possesses a computer arrangement in local net through dedicated ports to the external media, that is to say, for the attendance of the Internet users, for connection with the banking and cards nets and for connection with the Lottery Office or Official Lottery Provider. The number of access ports will be a function of the traffic to be assisted. There will be four microcomputers: one for communication, ordering and sending of messages (COM), one for general processing and attendance (GPA), one for allendance to the Internet (ATI), and one for storage (STO). The four microcomputers should have the following minimum characteristics: Server and attendants Stations with a Processor with minimum clock of 200MHz; with cache memory of 256 Kbytes; with muin memory of 64 Mbytes expandable to at least 128 Mbytes; with hard disk of 4 Gbytes, with Fast SCST-2 interface, with medium time of access of 15 ms or less and with a minimum disk transfer rate of 4Mbytes/s; with a 3,5 " floppy-disk drive for 1.44Mb of formatted memory; with a CD-ROM unit of 600 Mbytes of formatted memory, SCSI-2 interface, form factor 5,25 inches, a minimum sustained rate of transfer of 330 kbps, medium time of access of 300 ms or less, reading/record by ISO 9660, High-Sierta, CD-ROM Kodak Photo CD or similar standard, with minimum eight times the standard speed; I/O unit with a parallel interface Centronics standard, two high-speed serial interfaces EIA RS-232 C/CCITY V 24/V.48 standard and a SCSI-2 Fast/Wide interface; network interface IEEE 802.3 (Ethernet) standard, PCI with an UTP port (100Base-T); a color SVGA 15 " Video Monitor with a resolution of 1024x768 points and 0.28 mm dot pitch non interlaced; expansion with at least two PCI slots, a PCI/ISA slot and 3 ISA slots; 101 keys keyboard; and three buttons mouse with a 400 dpi resolution. The microcomputer (STO) will also be endowed with an external magnetic tape unity for 4 mm DAT tapes (DAT cantridges), with a minimum formatted storage capacity of 8 Gbytes (without compression), SCSI-2 interface(standard ANSI X3.131.-1994) and minimum MTBF 70,000 hours; with a color scanner with capacity for 16.7 million colors, minimum resolution of 1,200 dpi, SCSI/SCSI-2 interface (standard ANSI X3.131-)990/1994), scan area of 8.5x14 inches, support to PICT, TIFF and EPS (minimum), and drivers that run in another platforms. The microcomputer (COM) will also be endowed with multiscrial communication inverfaces with 12 high-speed ports standard EIA RS-232C/CCITT V.24/V.48 and high-speed interface for connection at 64 Kbps at least.

According to FIGURE 1, the process for use of the Internet occurs in the following sequence: the user (U) will communicate with the Phone Network (PN), which will be bilaterally connected with the Services Provider (SP) that is endowed with 12 external modems (MD) compatible with the interfaces microcomputer (COM) interfaces, with computer (COM) connected bilaterally to the modems (MD) and connection box (HUB), with connection box (HUB) connected bilaterally with the microcomputer (COM), with the microcomputer (PGA), with the microcomputer (ATI) and with the microcomputer (STO), with microcomputer (PGA) connected bilaterally to the box (HUB) and connected unilaterally to the scanner (SCA) and the printer (PRI), with microcomputer (ATI) connected bilaterally to the box (HUB) and with microcomputer

(STO) connected bilaterally to the box (HUB) and the Tape Unit (TU).

The computer programs (Softwares), in the Internet using process, will be ordered and associated in such a way that they will run in local network 100 base't, and distributed in a way they will work as follow described. The chosen platform will be Windows NT and the specific programs will be distributed like this: - management of the whole system, making specific reports as well as surveillance against intruders input (Fire-Wall), - communication among the units of the local net, communication and ordaining with the access means as well as with the Internet: - storage and database updated in real time; operational safety with cryptography resources; - electronic data banking/cards transfers; page hostage and exchanges aiming maintenance in the Internet. The necessary Softwares for the Server Stations will have as minimum specification MS-DOS 6.22 or superior, Windows NT, Office Pro. Borland C++ 4.0, Visual Basic 3.0 Pro or superior, SMMP Agent, Lottery Management, Fire Wall, Antivirus, Electronics Data Exchanges/Banking/cards transfer Interface, Cryptography, HTML Pages Providing, MS Explorer or Netscape, Communication and database software Sybase or Oracle and for the Attendant Stations the following minimum specifications: MS-DUS 6.22 or superior, Windows 95/98, Cryptography, HTML Pages Providing, Communication, SNMP Agent and Access to database. According to FIGURE 2, the dedicated

equipment for lottery bets is composed of a processor (1) for general processing and accesses attendance, with central processor Intel Pentium PRO or equivalent, with the following minimum requirements: clock of 200 MHz, " cache " memory of 256 Kbytes, main memory of 64 Mbytes expandable to at least 128 Mbytes, an auxiliary standard keyboard 101 keys, for access to the databases and the programs by technicians especially authorized, an unit of hard disk for database, with a formatted storage capacity of 4 Chytes or more, endowed with type "Fast SCSI-2" communication interface ANSI X3.131-1994 standard, with an access time of 15 ms or less and minimum transfer rate of 4 Mbytes/s, an 3,5 " floppydisk unit with 1.44 Mbytes formatted capacity, for data storage and transfer, a " DAT " (Digital Audio Tape) tape unit for storage of data that can be periodically transferred to the lottery net, with minimum storage capacity of 8 Gbytes without data compression, with type " SCSI-2 " communication interface ANSI X3.131-1994 standard, with minimum time batween failures (MTBF) of at least 70,000 hours, a CD-ROM unit for storage and transfer of data, with minimum speed 24 times greater than standard " MPC ", minimum formatted capacity of 600 Mbytes, type " SCST 2 " communication interface, form factor of 5,25 inches, sustained transfer rate of 330 kbps or more, medium time of access of 300 ms or less, reading/record by ISO 9660, High-Sierra, CD-ROM X-A, and Kodak Photo CD and a soundboard unit for sound alarm, a video monitor (2) type touch screen where the gambler will make its choices through a "menu" and will inform his data bank after making the bet, said monitor with the following minimum requirements: color, 14 " super VGA standard, with a resolution of 1025x768 points, 0.28 mm " dot pitch ", non interlaced, touch sensitive, a communication interface set(3) for communication (through the public phone system) with the banking, cards and lottery nets, multiserial with a minimum 6 ports, EIA RS-232C/CCITT V.24/V.48 standard and 6 modems compatible with the described interfaces and a speed of 33,6 Kbps, a magnetic cards reader unit (4), for reading of the gambler's debit or credit card, speaker (5), for sounding of the screen touches and user warnings,

a printer unit (6) for printing of the bets vouchers and payments on the gambler's part, and the electric current source (7)

The Softwares that allow the access

and operation of the dedicated equipment accomplish the following sequence of events:

a) The qambler is introduced to the start acreens, with alternating screens in way to avoid the burning of the video monitor (phosphorus). He should press a Start "key" at the touch sensitive monitor to the touch to access the "main menu";

b) The " main menu " screen offers the following options: to verity the result of previous games, and to choose the possible game types (type I game, type II game, type III game, and so on). In case the system stays stable for more than 2 minutes, without any choice on the part of the gambler, it comes back at the start screens (stage a);

c) In case the gambler has opted for verifying the result of the previous games, he is presented to a "menu" screen with the following options: the date of the wanted extraction, the extraction number, or the return to the "main menu". After opting, it is presented the requested results. In case the system stays stable for more than 2 minutes, without any choice on the part of the gambler, the system comes back to the "main menu" (stage b);

d) It, in the stage b, the yambler has chosen one of the offered games types, the system requests a confirmation of the accomplishment of the wanted game. If the option is "do not accomplish game ", the system comes back to the " main menu " (stage b). If the option is "accomplish game ", the system executes the (stage e);

e) The game accomplishment "menu" offers the possible options for accomplishment of the bet, such as dozens, combinations of letters, illustrations, etc., according to the type of game chosen. After confirmation on the gambler's part, the system checks the validity of the bet, avoiding such mistakes as repetition of numbers, etc. In case mistakes had happened in the bet, the system indicates them and offers a new trying option. After a limit of 3 wrong trying, the system comes back to the "main menu" (stage b). When the bet is validated by the system, it goes to the stage f);

f) The system offers the option " to leave the game ". In case the answer is affirmative, the system comes back to the start screen (stage a). In case the answer is negative, the system passes to the stage g);

g) The "menu " of bank/credit card choice allows the gambler to indicate its bank or credit card operator for payment of the bat. After the choice, it goes to the (stage h);

h) The gambler passes his magnetic card in the card reader and his data are transferred to the banking or cards net;

i) The gambler types his data and tries to validate the payment by the system current in the banking or cards net, that is, with confirmation and a limit of 3 incorrect attempts. There is a return to the stage (f) after this limit; and j) The system prints the bet and payment voucher, containing the necessary data for the gambler to verify the results. The system comes back to the "main menu" (stage

**b**).

TC ITEL YY/USON

The mentioned Software and Hardware to use the Internet or the dedicated equipment will provide conditions of accomplishment of lottery bets of the "scratch-and-win" type, that in addition uses Software that realizes a process that creates two layers: the superior (covering) that can be removed by means of previous conditions that shall be obeyed (and demonstrated as follows), and the inferior layer (permanent) superimposed by the superior layer which will contain information's that are typical for this game type (prizes, information, messages, etc.).

Each virtual game card will be available or liberated only after the payment operation is concluded and it will contain the indication of a prominent region on the screen, so that the removal of the covering (crasing) can begin. This region, the gambler should click with the mouse (Internet) or should touch with finger point (kiosk), to begin the removal of this superior layer. This process, once begun, is irreversible. In case the award is won, it will appear in the screen, for posterior printing, a ticket with all this operation information, from which the player will opt for receiving its prize via electronic bank operation or via authorized bank. The payment of the prize can be made automatically by means of deposit in the gambler's bank account (eventually, the same account used previously to pay the virtual "scratch-and-win" game), a voucher of the values deposited in bank account being emitted.

The superior layer will be removed, and it will only be altered once with the "scratching", by use of the mouse, in the case of a microcomputer linked to the Internet, or by the use of the finger, in the case of dedicated game machine (kiosks); These layers will be assembled a priori, taken into account the information previously established by the Lottery Office and by this type of game administrator, appropriately coded, and they will be open by the Suftware so that it is made a number of virtual game cards controlled by this office, properly numbered and coded, and whose control will be done by means of on-line operation, providing that the liberatios of the winning virtual game card can be a random, sequential or criteria one. The inferior layer will be fixed and never will have conditions of being altered, its information will be valid in any situation and they will be recognized by any agent authorized by this game provider office. For this, can be printed in specific format, so much in the dedicated game machines, with common paper and with characteristic printing, when the award happens (otherwise the impression won't be liberated), as in microcomputer terminals linked to the Internet or to the consults terminal, with normal printing paper also with a characteristic printing and in identical way in the case of award.

10

#### CLAIMS

1. "HARDWARE AND SOFTWARE INTEGRATION PROCESS FOR LOTTERY BETS OPERATION", characterized by, utilization of Internet with the following sequence: the user (U) will communicate with the Phone Notwork (PN), which will be bilaterally connected with the Services Provider (SP) that is endowed with 12 external modems (MD) compatible with the interfaces microcomputer (COM) interfaces, with computer (COM) connected bilaterally to the moderns (MD) and connection box (HUB), with connection box (HUB) connected bilaterally with the microcomputer (COM), with the microcomputer (PGA), with the microcomputer (ATI) and with the microcomputer (STO), with microcomputer (PGA) connected bilaterally to the box (HUB) and connected unilaterally to the scanner (SCA) and the printer (PRI), with microcomputer (ATI) connected bilaterally to the box (HUB) and with microcomputer (STO) connected bilaterally to the box (HUB) and the Tape Unit (TU) and utilizing microcomputers (COM, GPA, AT1 and STO) with the following minimum Characteristics: Server and Attendants Stations with a Processor with minimum clock of 200MHz; with cache memory of 256 Kbytes; with main memory of 64 Mbytes expandable to at least 128 Mbytes; with hard disk of 4 Gbytes, with Fast 5CSI-2 interface, with medium time of access of 15 ms or less and with a minimum disk transfer rate of 4Mbytes/s; with a 3,5 " floppy-disk drive for 1.44Mb of formatted memory; with a CD-ROM unit of 600 Mbytes of formatted memory, SCSI-2 interface, form factor 5,25 inches, a minimum sustained rate of transfer of 330 kbps, medium time of access of 300 ms or less, reading/record by ISO 9660, High Sierra, CD-ROM Kodak Photo CD or similar standard, with minimum eight times the standard speed; I/O unit with a parallel interface Centronics standard, two high-speed serial interfaces EIA RS-232 C/CCITT V 24/V.48 standard and a SCSI-2 Fast/Wide interface; network interface IEEE 802.3 (Ethernet) standard, PCI with an UTP port (100Base-T); a color SVGA 15 " Video Monitor with a resolution of 1024x768 points and 0.28 mm dot pitch non interlaced; expansion with at least two PCI slots, a PCI/ISA slot and 3 ISA slots; 101 keys keyboard; and three buttons mouse with a 400 dpi resolution. The microcomputer (STO) will also be endowed with an external magnetic tape unity for 4 mm DAT tapes (DAT cartridges), with a minimum formatted storage capacity of 8 Gbytes (without compression), SCSI-2 interface(standard ANSI X3.131.-1994) and minimum MTBF 70,000 hours; with a color scanner with capacity for 16.7 million colors, minimum resolution of 1,200 dpi, SCSI/SCSI-2 interface (standard ANST X3.131-1990/1994), scan area of 8,5x14 inches, support to PICT, Tiff and EPS (minimum), and drivers that run in another platforms, being the microcomputer (COM) will also be endowed with multiserial communication interfaces with 12 highspeed ports standard EIA RS-232C/CCITT V.24/V.48 and high-speed interface for connection at 64 Kbps at least, and with the following minimum Softwares to the Server Stations: MS-DOS 6.22 or superior, Windows NT, Office Pro, Borland C++ 4.0, Visual Basic 3.0 Pro or superior, SNMP Agent, Lottery Management, Fire Wall, Antivirus, Electronics Data Exchanges/Banking/cards transfer Interface, Cryptography, HTML Pages Providing, MS Explorer or Netscape, Communication and database software Sybase or Oracle and for the Attendant Stations the following minimum specifications: MS-DOS 6.22 or superior, Windows 95/98, Cryptography, HTML Pages Providing, Communication, SMMP Agent and Access to database, or utilization of dedicated equipment with the following sequence:

a) The gambler is introduced to the start screens, with alternating screens in way to avoid the burning of the video monitor (phosphorus). He should press a Start "key" at the touch sensitive monitor to the touch to access the "main manu";

following options: to verify the result of previous games, and to choose the possible game types (Lype I game, type II game, type III game, and so on). In case the system stays stable for more than 2 minutes, without any choice on the part of the gambler, it comes back at the start screens (stage a);

c) In case the gambler has opted for vorifying the result of the previous games, he is presented to a "menu" screen with the following options: the date of the wanted extraction, the extraction number, or the return to the "main menu". After opting, it is presented the requested results. In case the system stays stable for more than 2 minutes, without any choice on the part of the gambler, the system comes back to the "main menu" (stage b):

d) If, in the stage b, the gambler has chosen one of the offered games types, the system requests a confirmation of the accomplishment of the wanted game. If the option is "do not accomplish yame ", the system comes back to the " main menu " (stage b). It the option is "accomplish game ", the system executes the (stage e);

e) The game accomplishment " menu " offers the possible options for accomplishment of the bet, such as dozens, combinations of letters, illustrations, etc., according to the type of game chosen. After confirmation on the gambler's part, the system checks the validity of the bet, avoiding such mistakes as repetition of numbers, etc. In case mistakes had happened in the bot, the system indicates them and offers a new trying option. After a limit of 3 wrong trying, the system comes back to the "main menu" (stage b). When the bet is validated by the system, it goes to the stage f);

f) The system offers the option " to leave the game ". In case the answer is affirmative, the system comes back to the start screen (stage a). In case the answer is negative, the system passes to the (stage g);

g) The " menu " of bank/credit card choice allows the gambler to indicate its bank or credit card operator for payment of the bet. After the choice, it goes to the (stage h).

h) The gambler passes his magnetic card in the card reader and his data are transferred to the banking or cards net;

i) The gambler types his data and tries to validate the payment by the system current in the banking or cards net, that is, with confirmation and a limit of 3 incorrect attempts. There is a return to the stage (f) after this limit; and j) The system prints the bet and

payment voucher, containing the necessary data for the gambler to verify the results. The system comes back to the "main menu" (stage b), and that utilizing Hardware with a processor (1) for general processing and accesses attendance, with central processor Intel Pentium PRO or equivalent, with the following minimum requirements: clock of 200 MHz, " cache " memory of 256 Kbytes, main memory of 64 Mbytes expandable to at least 128 Mbytes, an auxiliary standard keyboard 101 keys, for access to the databases and the programs by technicians especially authorized, an unit of hard disk for

=> THE KLINE LAW FIRM; #13

12

PCT/EP99/03848

database, with a formatted storage capacity of 4 Gbytes or more, endowed with type " Fast SCSI-2 " communication interface ANSI X3.131-1994 standard, with an access time of 15 ms or less and minimum transfer rate of 4 Mbytes/s, an 3,5 " floppy-disk unit with 1.44 Mbytes formatted capacity, for data storage and transfer, a " DAT " (Digital Audio Tape) tape unit for storage of data that can be periodically transferred to the lottery net, with minimum storage capacity c: 8 Gbytes without data compression, with type " SCSI-2 " communication interface ANSI X3.131-1994 standard, with minimum time between failures (MTBF) of at least 70,000 hours, a CD-ROM unit for storage and transfer of data, with minimum speed 24 times greater than standard " MPC ", minimum formatted capacity of 600 Moytes, type " SCSI-2 " communication interface, form factor of 5,25 inches, sustained transfer rate of 330 kbps or more, medium time of access of 300 ms or less, reading/record by ISO 9660, High-Sierra, CD-ROM X-A, and Kodak Photo CD and a soundboard unit for sound alarm, a video monitor (2) type touch screen where the gambler will make its choices through a " menu " and will inform his data bank after making the bet, said monitor with the following minimum requirements: color, 14 " super VGA standard, with a resolution of 1025x768 points, 0.28 mm " dot pitch ", non interlaced, touch sensitive, a communication interface set(3) for communication (through the public phone system) with the banking, cards and lottery nets, multiserial with a minimum 6 ports, EIA RS-232C/CCITT V.24/V.48 standard and 6 modems compatible with the described interfaces and a speed of 33,6 Kbps, a magnetic cards reader unit (4), for reading of the gambler's debit or credit card, speaker (5), for sounding of the screen touches and user warnings, a printer unit (6) for printing of the bets vouchers and payments on the gambler's part, and the electric current source (7).

2. "HARDWARE AND SOFTWARE INTEGRATION PROCESS FOR LOTTERY BETS OPERATION", as cited at claim 1, characterized by, provide accomplishment of lottery bets of "scratch-and-win" type, with the following sequence of process:

a)it creates two layers: the superior (covering) that can be removed by means of previous conditions that shall be obeyed (and demonstrated as follows), and the inferior layer (permanent) superimposed by the superior layer which will contain information's that are typical for this game type (prizes, information, messages, etc.);

b) Each virtual game card will be available or liberated only after the payment operation is concluded and it will contain the indication of a prominent region on the screen, so that the removal of the covering (crasing) can begin. This region, the gambler should click with the mouse (Internet) or should touch with finger point (kiosk), to begin the removal of this superior layer. This process, once begun, is irreversible;

appear in the screen, for posterior printing, a ticket with all this operation information, from which the player will opt for receiving its prize via electronic bank operation or via authorized bank. The payment of the prize can be made automatically by means of deposit in the gambler's bank account (eventually, the same account used previously to pay the virtual "scratch-and-win" game), a voucher of the values deposited in bank account being emitted;

d) The superior layer will be removed, and it will only be altered once with the "scratching", by use of the mouse, in the case of a microcomputer linked to the Internet,

PCT/BR99/00044

or by the use of the finger, in the case of dedicated game machine (kiosks); These layers will be assembled a priori, taken into account the information previously established by the Lottery Office and by this type of game administrator, appropriately coded, and they will be open by the Software so that it is made a number of virtual game cards controlled by this office, properly numbered and coded, and whose control will be done by means of on-line operation, providing that the liberation of the winning virtual game card can be a random, sequential or criteria one; and

13

e) The inferior layer will be fixed and never will have conditions of being altered, its information will be valid in any situation and they will be recognized by any agent authorized by this game provider office. For this, can be printed in specific format, so much in the dedicated game machines, with common paper and with a characteristic printing, when the award happens (otherwise the impression won't be liberated), as in microcomputer terminals linked to the Internet or to the consults terminal, with normal printing paper also with a characteristic printing and in identical way in the case of award.

1/2

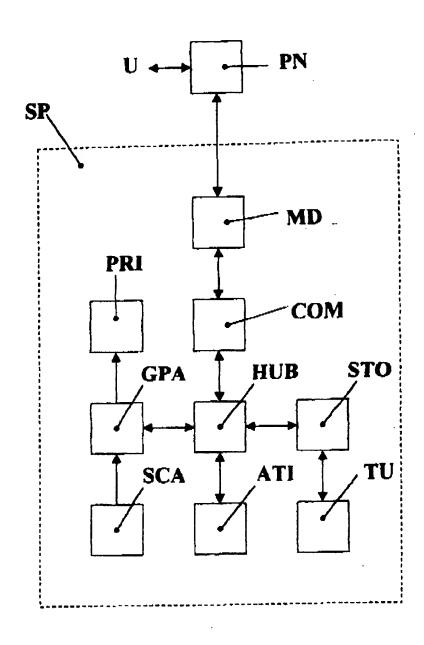


FIG. 1

PCT/BR99/00044

2/2

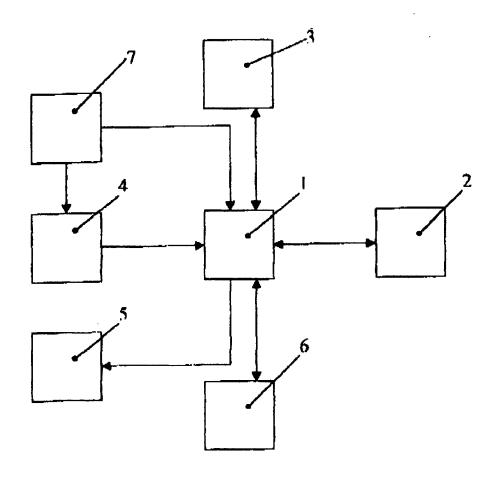


FIG. 2